

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addiese: COMMISSIONER FOR PATENTS P O Box 1450 Alexandra, Virginia 22313-1450 www.wepto.gov

| APPLICATION NO.   | FILING DATE | FIRST NAMED INVENTOR              | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|-----------------------------------|---------------------|------------------|
| 10/533,754  | 05/04/2005  | Nathalie Dorothee Pieternel Leurs | NL 021106           | 6702             |
| 94737 7590 9072272010<br>PHILIPS INTELLECTUAL PROPERTY & STANDARDS<br>P.O. BOX 3001<br>BRIARCLIFF MANOR, NY 10510 |             |                                   | EXAMINER            |                  |
|   |             |                                   | ARAQUE JR, GERARDO  |                  |
|   |             |                                   | ART UNIT            | PAPER NUMBER     |
|   |             |                                   | 3689                |                  |
|   |             |                                   |                     |                  |
|   |             |                                   | MAIL DATE           | DELIVERY MODE    |
|   |             |                                   | 07/27/2010          | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

| Application No.   | Applicant(s) |
|-------------------|--------------|
| 10/533,754        | LEURS ET AL. |
| Examiner          | Art Unit     |
| GERARDO ARAQUE JR | 3689         |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply

| WHIC<br>- Exte<br>after<br>- If NO | ORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS,<br>CHEVER IS LONGER, FROM THE MAILUNG DATE OF THIS COMMUNICATION,<br>rations of time may be available under the provisions of 37 CFR 1 38(a). In no event, however, may a reply be timely filed<br>(5K) (6) MONTHS from the mailing date of the communication<br>or period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication<br>are to reply within the set or extended period for reply will by statute, cause the application to become ARMONED (36 U.S.C. § 133). |
|------------------------------------|---|
| Any                                | reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any sed patent term adjustment. See 37 CFR 1.704(b).  |
| Status                             |   |
| 1)🛛                                | Responsive to communication(s) filed on 25 May 2010.  |
| 2a)⊠                               | This action is <b>FINAL</b> . 2b) This action is non-final.   |
| 3)                                 | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is   |
|                                    | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |
| Disposit                           | ion of Claims   |
| 4)🛛                                | Claim(s) <u>1-21</u> is/are pending in the application.   |
|                                    | 4a) Of the above claim(s) is/are withdrawn from consideration.  |
| 5)                                 | Claim(s) is/are allowed.  |
| 6)⊠                                | Claim(s) <u>1-21</u> is/are rejected.   |
|                                    | Claim(s) is/are objected to.  |
| 8)                                 | Claim(s) are subject to restriction and/or election requirement.  |
| Applicat                           | ion Papers  |
| 9)                                 | The specification is objected to by the Examiner.   |
| 10)                                | The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  |
|                                    | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |
|                                    | Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(o  |
| 11)                                | The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |
| Priority                           | under 35 U.S.C. § 119   |
|                                    | Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  ☐ All b)☐ Some * c)☐ None of:  |
|                                    | <ol> <li>Certified copies of the priority documents have been received.</li> </ol>  |
|                                    | 2. Certified copies of the priority documents have been received in Application No  |
|                                    | 3. Copies of the certified copies of the priority documents have been received in this National Stage   |
|                                    | application from the International Bureau (PCT Rule 17.2(a)).   |
| * :                                | See the attached detailed Office action for a list of the certified copies not received.  |
|                                    |   |
|                                    | 40  |
| Attachmer                          | nt(s) ce of References Cited (PTO-892)  4) ☐ Interview Summary (PTO-413)  |
|                                    |   |

| Paper No(s)/Mail Date            |  |
|----------------------------------|--|
| U.S. Patent and Trademark Office |  |
| PTOL-326 (Rev. 08-06)            |  |

2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (FTO/SB/08)

Page 2

Application/Control Number: 10/533,754

Art Unit: 3689

#### DETAILED ACTION

## Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

2. Claims 20 – 21 are rejected under 35 U.S.C. 101 because the applicant is claiming a system (apparatus) with no structural components. As best understood by the Examiner from the applicant's specification, the limitations set forth in the claim 20 are directed to software and software, per se, is not statutory. Although, the applicant attempts to disclose a processing apparatus it is asserted that the body of the claim and the preamble is actually directed towards the software modules that the Examiner is assuming to be stored within the processing apparatus. Upon further review of the specification, the Examiner asserts that the claimed processors and receiver are nothing more than software applications/programs. There is nothing in the specification indicating that, e.g., a user profiler processor is a microchip that has been specifically configured for the express purpose of handling user profile information.

Therefore, if one were to argue that the claimed processors and receiver are the structural components of the processing apparatus it is asserted that the specification fails to show this since the specification only shows support for a software application for performing the claimed limitations. Moreover, in regards to the argument that the modules are meant to be executed by a processor(s) the Examiner asserts that this is not equivalent to claiming an actual processor. In other words, a "user profile processor", "receiver", and "recommender processor" are not actual computer

Art Unit: 3689

processors, but software modules that are processing the determination of a user profile, receiving content data, and setting the preference value for each received content, respectively.

Furthermore, the Examiner questions whether the claimed processing apparatus is an actual apparatus. That is to say, the claim has defined the processing apparatus to be comprised of software modules, which would lead one to believe that the processing apparatus is nothing more than a software module that is comprised of other software modules since the claims has failed to set forth specific structural elements that would lead one to believe that the processing apparatus is comprised of structure and not data.

#### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filled in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filled in the United States before the invention by the applicant for patent, except that an international application filled under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filled in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- Claims 1 11 and 15 19 are rejected under 35 U.S.C. 102(e) as being anticipated by Hane (US PGPub 2004/0083490 A1).
- 5. In regards to claims 1 and 19, Hane discloses a method of analyzing a plurality of content items by a processing apparatus, the processing apparatus enabling viewing of the analyzed content by at least one user, the processing apparatus providing a

Art Unit: 3689

recommendation of the content items preferred by the at least one user and a computer readable storage medium comprising a computer program including a set of instructions executable by a processor, the set of instructions being operable to be received by the processor for configuring the processor to receive and analyze a plurality of content items for viewing by a user, and for configuring the processor to provide a recommendation of the content items preferred by the user, the method <u>performed by</u> the processing apparatus and program comprising:

determining a user preference profile for a user (Page 1 ¶ 13 wherein a profile creator is used to create a user preference profile);

receiving a plurality of content items (see at least Page 1 ¶ 14, 17; Page 4 ¶ 94 wherein the apparatus receives a plurality of content items and analyzes the received programs in order to determine which programs a user may view based on stored information within the user's profile);

setting a preference value for each received content item such that (see at least Page 1 ¶ 19 – 22; Page 2 ¶ 28 – 31 wherein preferences are established by requiring the user to create one or more profiles and providing keywords that the user prefers to be included in program, thereby establishing a preference value for each content item that the apparatus receives in order to determine which program a user may be interested in viewing),

if the content item correlates with the user preference profile, the preference value is set high; and recommending the content item having the preference value set high to the user, (see at least Page 3 ¶ 68 wherein a program is searched for a

Art Unit: 3689

recommended based on the user preference profile; see at least Page 6 ¶ 141;
Page 7 ¶ 148 wherein programs that correlate with the user profile and have a
high preference value are provided to the user and wherein the high preference
value is determined based on how well the program correlates with the user
preference profile); and

if the content does not have the preference value set high recommending the content item if it comprises at least one first characteristic having an associative correspondence to at least one second characteristic of at least one previously received content item having the user preference set high (see at least Page 6 ¶ 140: Page 7 ¶ 148 wherein programs that are not ranked high when initially correlated with the user preference profile is reanalyzed in order to determine whether the program should continue to be recommended to the user based on various stored parameters [characteristics], including previous programs that have been stored/viewed; see also Page 4 ¶ 93 - Page 6 ¶ 132 wherein Hane discusses how the recommendation system allows for the system to extract information from the shows that the user enjoyed and uses this information in order to determine other shows that the use may enjoy as well. In other words, Hane discloses that the user initially inputs their parameters and the recommendation system begins to learn on the user's likes and dislikes. As the user watches more shows, the system begins to take information from these shows and applies them to other shows and recommends those shows to the user. That is to say, the system relates characteristics from one program to characteristics of another program.

Art Unit: 3689

Moreover, the system also provides a ranking of these programs ranging from highly probable that the user may watch to less probable. In other words, one of ordinary skill in the art would have recognized that the system is providing a ranking system according to a user's profile and determining the relevance that each program has according to the information that is stored in the user profile.

As a result, a highly ranked program would contain all, if not most, of the user's characteristics, while the lower rank characteristics would contain very few characteristics that are shown in the higher ranked programs. In other words, the system provides the lower ranked programs because the system may have determined that they share specific characteristics amongst the higher ranked programs.

Although, Hane does disclose that the user provides specific parameters, Hane also discloses that the system expands on these parameters based on programs that the user may have shown some interest in. As a result, since the system is expanding and extracting keywords from previous programs the system is, indeed, relating, "...characteristics of one program to characteristics of another program.").

6. In regards to claim 2, Hane discloses wherein the content item is recommended to the user if only a single associative correspondence between the first characteristic and the second characteristic is determined (see at least Page 6 ¶ 140; Page 7 ¶ 148 wherein if a associative correspondence is found the content will be recommended).

Art Unit: 3689

7. In regards to claim 3, Hane discloses wherein only the associative correspondence is determined for the first characteristic and second characteristic (see at least Page 1 ¶ 7; Page 2 ¶ 30 wherein the characteristic of why the program is being recommended may be at least because a specific actor appears in the program or keyword).

- 8. In regards to claim 4, Hane discloses further comprising an act of determining a user preference for the content item recommended from the associative correspondence and updating the user preference profile in response to the user preference (Page 4 ¶ 94; Page 5 ¶ 113 116; Page 6 ¶ 130 wherein the system controller learns from the programs that have been selected to be recorded by the user and uses at least a morpheme analysis, for example, in order to determine various user preferences and is updated).
- 9. In regards to claim 5, Hane discloses wherein the first characteristic is a description of the content item and the second characteristic is a description of the <u>at least one previously received</u> content item (see at least Page 4 ¶ 101 wherein the characteristic of the content can be comprised of at least the contents of the program).
- 10. In regards to claim 6, Hane discloses wherein the content item description is derived from a first textual description associated with the content item and the <u>at least one previously received</u> content item description is derived from a textual description associated with the <u>at least one previously received</u> content <u>item</u> (see at least Page 4 ¶ 99 wherein a text analysis process is used).

Art Unit: 3689

11. In regards to claim 7, Hane discloses wherein the associative correspondence is determined in response to an identification of a correspondence between at least one word of the first textual description and at least one word of the second textual description (see at least Page 4 ¶ 99 wherein the results of the text analysis process is stored for use in determining a recommendation and uses the stored information, such as the information for the user "favorites", in order to determine appropriate recommendations).

- 12. In regards to claim 8, Hane discloses wherein the correspondence is determined in response to the at least one word of the first textual description having a similar meaning as the at least one word of the second textual description (see at least Page 4 ¶ 98 wherein a morpheme analysis is used in order to use the definition of a word in order to determine the meaning of the explanation regarding each program).
- 13. In regards to claim 9, Hane discloses wherein the correspondence is determined in response to the at least one word of the first textual description having an associative word correspondence to the at least one word of the second textual description, the associative word correspondence being determined from a database of word associations (see at least Page 4 ¶ 98 99 wherein a word dictionary is used and stored within the system).
- 14. In regards to claim 10, Hane discloses wherein the associative correspondence is determined in response to word combinations of at least one of the first and second textual descriptions (Page 4 ¶ 97 99 wherein brief explanations of the programs is

Art Unit: 3689

used and stored into the system in order to determine the associative correspondence of the content items).

- 15. In regards to claim 11, Hane discloses wherein at least one of the first and second characteristics are determined from content analysis of the first and second content items (Page 4 ¶ 97 99; Page 4 ¶ 101 wherein the system uses at least the contents of the program(s) and brief descriptions in determining the recommendation; see also the explanation provided under claim 1 regarding the last limitation of the claim).
- 16. In regards to claim 15, Hane discloses wherein at least one of the first and second characteristics are determined from a content broadcast channel (Page 4 ¶ 97 wherein the characteristics can be derived from at least the channel of the program).
- 17. In regards to claim 16, Hane discloses wherein the act of determining the associative correspondence comprises determining a plurality of associative correspondences between a plurality of characteristics of the content item and a plurality of characteristics of the at least one previously received content item (see at least Page 4 ¶ 101 wherein the characteristics used can be comprised of the program title, cast of the program, type of the program, and contents of the program).
- 18. In regards to claim 17, Hane discloses wherein the associative correspondence is further determined in response to a previous associative correspondence between content items (see at least Page 4 ¶ 87: Page 5 ¶ 109, 115 wherein the system uses

Art Unit: 3689

information from user favorite programs in order to determine the associative correspondence for recommending programs).

- 19. In regards to claim 18, Hane discloses wherein at least one of the first and second characteristics are selected from at least one of (see at least Page 4 ¶ 101 wherein the cast of the program is used):
  - a, an actor.
  - b. a character played by an actor, and
  - c. a location.

## Claim Rejections - 35 USC § 103

- The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary sikl in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- Claims 12 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hane (US PGPub 2004/0083490 A1) in view of Nicky Blackburn (Innovations; [Daily Edition]).
- In regards to claims 12 14, Hane discloses a system and method which analyzes the content of a program and uses the extracted information in order to

Art Unit: 3689

determine whether the program should be recommended to the user based on stored information.

However, Hane fails to explicitly disclose:

wherein the content analysis comprises a video image analysis, <u>an</u> audio analysis, and content video object analysis of each of the <u>plurality of the</u> content <u>items</u>.

**Blackburn** discloses that it is old and well known use video and audio analysis as a means of comparing the captured data with information stored within the system in order to provide key information to a user.

It would have been obvious to one having ordinary skill in the art to include in the recommendation system and method of **Hane** the ability to capture video and audio as a means of analyzing content as taught by **Blackburn** since the claimed invention is merely a combination of old elements, and in the combination each element merely would have performed the same function as it did separately, and one of ordinary skill in the art would have recognized that the results of the combination were predictable.

- 23. Claims 20 21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hane (US PGPub 2004/0083490 A1) in view of Robert Cravotta (Exploring the anatomy of multiprocessor designs).
- 24. In regards to claims 20, Hane discloses a private video recorder (Page 1 ¶ 24) comprising a recommender for providing a recommendation of content to a user, as

Art Unit: 3689

discussed above. Hane further discloses a receiver for receiving input (see at least Page 1 ¶ 14, 17; Page 4 ¶ 94 wherein the apparatus receives a plurality of content items and analyzes the received programs in order to determine which programs a user may view based on stored information within the user's profile).

In other words, **Hane** discloses a processing apparatus for receiving and analyzing a plurality of content items and providing a recommendation of content items preferred by a user.

However, Hanes fails to disclose the processing apparatus comprising:

a user profile processor; and

a recommender processor.

Cravotta, however, discloses that it is old and well known to use multiple processors for computer system in order to provide more processing power than a single processor can accomplish. Multiple processors take advantage of the processing power by allowing the system to accomplish more tasks in less time by dividing the workload. One of ordinary skill in the art would have realized the advantages of using a multiprocessor system for the recommender, as taught by Hane, in order to take advantage of searching through the various characteristics in order to determine best content to provide to the user as fast and efficiently as possible.

Therefore, it would have been obvious to one having ordinary skill in the art at the time of the invention to include a multiprocessor system, as taught by **Cravotta**, in the recommender system, as taught by **Hane**, in order to provide the content to the user as fast and efficiently as possible since the claimed invention is merely a combination of

Art Unit: 3689

old elements, and in the combination each element merely would have performed the same function as it did separately, and one of ordinary skill in the art would have recognized that the results of the combination were predictable.

Regarding the following limitations:

a user profile processor for determining a user preference profile for a user;

a receiver for receiving a plurality of content items;

a recommender processor *for* establishing a preference value for each received content item such that

if a first content item correlates with the user preference profile, setting a high preference value and recommending the first content item to a user, and

if the first content item does not have a high preference value, recommending the first content item if it comprises at least one first characteristic having an associative correspondence to at least one second characteristic of a second content item having a high user preference:

the Examiner considers them to be nonfunctional descriptive subject matter. Specifically, the Examiner understands the claims to be directed towards a system and apparatus and, consequently, the data that is being processed does not add any further structural components to the claim. In other words, the type of data adds little, if anything, to the claim's structure, and, thus, does not serve as a limitation on the claims to distinguish over the prior art.

As a further note a recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order Art Unit: 3689

to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim.

NOTE: Although the Examiner has treated the user profile processor, receiver, and recommender processor as structural elements of the processing apparatus, the Examiner asserts that this was done as a means of expediting prosecution. The Examiner refers the applicant to the rejection under 35 USC 101 where the Examiner has stated that these components are actually software modules.

25. In regards to claims 21, the combination of Hane and Cravotta discloses wherein the processing apparatus is a portion of the video recorder (see at least Page 1 ¶ 24 wherein the processing apparatus and video recorder are part of the same apparatus/system).

## Response to Arguments

 Applicant's arguments filed 5/25/2010 have been fully considered but they are not persuasive.

#### Rejection under 35 USC 101

- 27. Rejections under 35 USC 101 for claims have been withdrawn for claims 1 -
- 18. However, the Examiner would like to point out that the applicant's argument of a transformation taking place is incorrect. As previously discussed, the Examiner asserts that simply changing what the data is about does not constitute as a proper

Art Unit: 3689

transformation to overcome the machine-transformation test of 101. Once again the applicant is reminded of the following:

"Purported transformation or manipulations simply of public or private legal obligations or relationships, business risks, or other such abstractions cannot meet the test because they are not physical objects or substances, and they are not representative of physical objects or substances.

(In re Bernard L. Bilski and Rand A. Warsaw Page 28)"

Moreover, the "transformation must be central to the purpose of the claimed process.

(In re Bernard L. Bilski and Rand A. Warsaw Page 28)"

28. In regards to **claims 20 – 21** the rejection is **maintained** for the reasons discussed above.

### Rejection under 35 USC 112, second paragraph

29. Rejections under 35 USC 112, second paragraph, have been maintained for claims 20 and 21 for the reasons stated above. Additional remarks/rejections towards these claims have been included.

#### Rejection under 35 USC 102

30. Applicant argues that Hane fails to disclose:

"if the first content item does not have a high preference value, recommending the first content item if it comprises at least one first characteristic having an associative correspondence to at least one second characteristic of a second content item having a high user preference."

However, the Examiner respectfully disagrees.

As discussed in the rejection above, **Hane** provides a system and method wherein a user inputs specific parameters so that the recommendation system is

Art Unit: 3689

allowed to determine which programs to recommend to a user. However, Hane continues on to disclose that the recommendation system is a learning system (see at least Pages 4 - 6 ¶ 83, 89, 93 - 132). Hane discloses that the system extracts information from the program as a means of categorizing the program. The system continues on to use the extracted information (keywords) from a selected program and determines if the extracted information is part of the user's profile. If the system determines that the keywords are not part of the user's profile library it continues on to registering the keyword and making it a part of the user's profile (see also Page 4 ¶ 93 - Page 6 ¶ 132 wherein Hane discusses how the recommendation system allows for the system to extract information from the shows that the user enjoyed and uses this information in order to determine other shows that the use may enjoy as well.). In other words, Hane discloses that the user initially inputs their parameters and the recommendation system begins to learn the user's likes and dislikes. As the user watches more shows, the system begins to take information from these shows and applies them to other shows and recommends those shows to the user.

Once this has been established, the system refers back to the newly updated user profile and provides further recommendations on programs that the user may watch. In other words, **Hane** discloses extracting keywords (characteristics) of one program, storing it in the user's profile, and using the newly updated user profile to determine whether specific characteristics show up in another program in order to recommend it to the user. That is to say, the system relates characteristics of one program to characteristics of another program.

Art Unit: 3689

Moreover, the system also provides a ranking of these programs ranging from highly probable that the user may watch to less probable. In other words, one of ordinary skill in the art would have recognized that the system is providing a ranking system according to a user's profile and determining the relevance that each program has according to the information that is stored in the user profile.

As a result, a highly ranked program would contain all, if not most, of the user's characteristics, while the lower rank characteristics would contain very few characteristics that are shown in the higher ranked programs. In other words, the system provides the lower ranked programs because the system may have determined that they share specific characteristics amongst the higher ranked programs.

Although, **Hane** does disclose that the user provides specific parameters, **Hane** also discloses that the system expands on these parameters based on programs that the user may have shown some interest in. As a result, since the system is expanding and extracting keywords from previous programs the system is, indeed, relating, "...characteristics of one program to characteristics of another program."

The Examiner would also like to note that the reason for providing a lengthy citation, i.e. citing  $\P$  93 – 132, is because **Hane** provides a detailed breakdown of the recommendation and comparison process. The Examiner felt that citing only portions of the above mentioned citation would have been confusing and would have resulted in not fully understanding why **Hane** anticipates the claimed invention. For example:

¶ 93 - 95 discloses the how the learning user preference is determined by first laying out the groundwork of how the vectors are created;

Art Unit: 3689

 $\P$  96 – 97 discloses the acquisition of the data and what the data is comprised of, e.g. cast, channel, type, brief explanation of the program;

- ¶ 98 101 discloses the analysis that is performed for the acquired data;
- ¶ 102 discloses that vectors are created based on the analysis
- ¶ 103 discloses an example of the steps performed above
- $\P$  104 109 discloses how the above steps are performed again for an entire set of programs
- ¶ 110 114 discloses how the system takes into account the plurality various profiles in order to create specific learned preferences
- ¶ 115 128 discloses a more detailed example of the type of analysis that the system performs in order to determine which programs would be best satisfy the user's preference
- ¶ 129 131 discloses how values are used an assigned in order to determine which programs best satisfies the user's preference
- ¶ 132 discloses how the analyzed information is stored so that it can be used to recommend programs, which is discussed in the next section of **Hane**

As a result, the Examiner once again asserts that merely pointing to one paragraph of the prior art would be very difficult since the manner in which the disclosure has been presented requires all of the cited paragraphs to be presented. In other words, citing only 1 or 2 paragraphs to reject the claimed limitation would not accurately represent how **Hane's** invention is carried out and would not fully disclose how **Hane** does, indeed, disclose, "if the first content item does not have a high

Art Unit: 3689

preference value, recommending the first content item if it comprises at least one first characteristic having an associative correspondence to at least one second characteristic of a second content item having a high user preference."

Moreover, the Examiner asserts that although 39 paragraphs have been cited it should be noted that each paragraph is only 1 - 2 sentences long with the exception of a couple of paragraphs that are as long as 3 sentences long. The Examiner asserts that merely arguing the length of the provided citations is an improper response to the provided rejection since it fails to specifically point out the supposed errors of the Examiner's rejection. It is asserted that the applicant has simply argued a small portion of the Examiner's citations (namely only ¶ 140, which was simply used to provide an introduction of the recommendation process and to demonstrate, without yet going into too much detail that Hane does, indeed, disclose some type of process in which a program is being compared with stored parameters) to demonstrate that Hane does not teach the argued rejection when, as previously discussed, it is necessary to take the reference as a whole in order to properly understand how the prior art does, indeed, disclose, "if the first content item does not have a high preference value, recommending the first content item if it comprises at least one first characteristic having an associative correspondence to at least one second characteristic of a second content item having a high user preference."

To put it simply, a construction worker would be unable to recreate a building if only a portion of the blue prints are provided. In this case, if only a portion of the cited paragraphs were provided it would be difficult to see how **Hane** does, indeed, disclose

Art Unit: 3689

the claimed limitation. The Examiner has gone as far as to explain, i.e. summarize, the lengthy citation in the prior Office Action and, in addition, has also gone as far as to break up the cited paragraphs into smaller "chunks", in the current Office Action, and provide a summary for each "chunk" to better demonstrate how Hane does, indeed, disclose "if the first content item does not have a high preference value, recommending the first content item if it comprises at least one first characteristic having an associative correspondence to at least one second characteristic of a second content item having a high user preference."

In the end, the Examiner maintains the rejection and asserts that the applicant's arguments remain unpersuasive and also fail to demonstrate a proper rationale as to why **Hane** does not teach, "if the first content item does not have a high preference value, recommending the first content item if it comprises at least one first characteristic having an associative correspondence to at least one second characteristic of a second content item having a high user preference." It is asserted that the applicant has not provided a proper argument to demonstrate the differences between the prior art and of the applicant's invention and has merely rested on the fact that the Examiner has cited numerous paragraphs in order to teach the claimed limitation. As already discussed, arguing one or two paragraphs and ignoring the reference as a whole is insufficient to overcome the rejection.

In summary, applicant's arguments fail to comply with 37 CFR 1.111(b) because they amount to a general allegation that the claims define a patentable invention without

Art Unit: 3689

specifically pointing out how the language of the claims patentably distinguishes them from the references.

#### Conclusion

31. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to GERARDO ARAQUE JR whose telephone number is (571)272-3747. The examiner can normally be reached on Monday - Friday 9:30AM - 5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Janice Mooneyham can be reached on (571) 272-6805. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3689

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/G. A./ Examiner, Art Unit 3689 7/21/2010

/Dennis Ruhl/ Primary Examiner, Art Unit 3689